



The Commonwealth of Massachusetts

William Francis Galvin

Minimum Fee: \$100.00

Secretary of the Commonwealth, Corporations Division
One Ashburton Place, 17th floor
Boston, MA 02108-1512
Telephone: (617) 727-9640

Annual Report

(General Laws, Chapter 156D, Section 16.22; 950 CMR 113.57)

Federal Employer Identification Number: 840965407 (must be 9 digits)1. Exact name of the corporation: GWFS EQUITIES, INC.2. Jurisdiction of Incorporation: State: DE Country: USA

3,4. Street address of the corporation registered office in the commonwealth and the name of the registered agent at that office:

Name: C T CORPORATION SYSTEMNo. and Street: 155 FEDERAL STREETSTE 700City or Town: BOSTONState: MAZip: 02110Country: USA

5. Street address of the corporation's principal office:

No. and Street: 8515 E. ORCHARD RD.City or Town: GREENWOOD VILLAGEState: COZip: 80111Country: USA6. Provide the name and business street address of the officers and of all the directors of the corporation:
(A president, treasurer, secretary and at least one director are required.)

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
PRESIDENT	CHARLES NELSON	
TREASURER	GLEN R. DERBACK	
INVESTMENTS COMPLIANCE OFFICER	MARY MAIERS	9802 S. WALLACE CT. HIGHLANDS RANCH, CO 80126 USA
VICE PRESIDENT	MILES R EDWARDS	8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA
SECRETARY	BEVERLY A. BYRNE	
VICE PRESIDENT	GREGORY E. SELLER	
VICE PRESIDENT	WILLIAM S HARMON	7050 S PICADILLY ST. AURORA, CO 80016 USA
COMPLIANCE OFFICER	TERESA L LUIZ	8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA
VICE PRESIDENT	STEPHANIE BENDRICK	8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA
VICE PRESIDENT	JUDITH GIBBS	8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111 USA
DIRECTOR	CHARLES NELSON	1187 E JESSE COURT

		HIGHLANDS RANCH, CO 80126 USA
DIRECTOR	GREGORY E. SELLER	26 PIENZA LAGUNA NIGUEL, CA 92677 USA
DIRECTOR	ROBERT K. SHAW	
DIRECTOR	GRAHAM MCDONALD	4578 E LINKS PKWY LITTLETON, CO 80122 USA
DIRECTOR	WILLIAM S HARMON	7050 S PICADILLY ST. AURORA, CO 80016 USA
DIRECTOR	STEPHANIE BENDRICK	8515 E. ORCHARD ROAD GREENWOOD VILLAG, CO 80111 USA

7. Briefly describe the business of the corporation:

BROKER/DEALER

8. Capital stock of each class and series:

Class of Stock	Par Value Per Share Enter 0 if no Par	Total Authorized by Articles of Organization or Amendments		Total Issued and Outstanding
		<i>Num of Shares</i>	<i>Total Par Value</i>	
CNP	\$0.00000	10,000	\$0.00	4,000

9. Check here if the stock of the corporation is publicly traded: ☐

10. Report is filed for fiscal year ending: 12/31/ 2010

**Signed by BEVERLY A. BYRNE , its OTHER OFFICER
on this 1 Day of February, 2011**